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INTELLECTUAL PROPERTY LAW

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Deliver to: EXAMINER: J.A. MERCADO [ART GROUP: 1745]

Firm Name: U.S. PATENT AND TRADEMARK OFFICE (GROUP ART UNIT 1745)

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From: STEVEN LAUT, ESQ. Reg. No. 47,736

Date: March 26, 2002 Time: 4:00 P.M.

BSTZ Matter: 003364.P039 Number of pages including cover sheet: _____

In Re Patent Application of: SHEEM, ET AL.

Application No.: 09/512,394

Filed: February 24, 2000

TITLE: NEGATIVE ACTIVE MATERIAL FOR RECHARGEABLE LITHIUM BATTERY AND METHOD OF PREPARING SAME

Enclosed are the following documents:

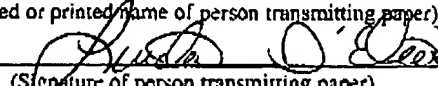
<input checked="" type="checkbox"/> XX Amendment/Response (7 pgs)	<input type="checkbox"/> Declaration & PofA (_ pgs)	<input type="checkbox"/> Reply Brief (_ pgs)
<input type="checkbox"/> Appeal Brief (_ pgs) (in triplicate)	<input checked="" type="checkbox"/> XX Drawings: 1 # of sheets w/ 1 figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (_ pgs)	<input type="checkbox"/> () Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (_ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (_ pgs)	<input type="checkbox"/> IDS & PTO 1449 (_ pgs)	<input checked="" type="checkbox"/> XX Transmittal Letter (1 pgs) (in duplicate)
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<input type="checkbox"/> PCT Application (_ pgs)	<input type="checkbox"/> Power of Attorney (_ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Provisional Application (_ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (_ pgs)	<input type="checkbox"/> Other: _____
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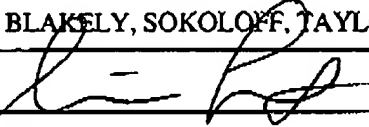
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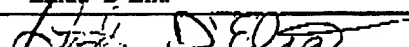
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/512,394
		Filing Date	February 24, 2000
		First Named Inventor	Sheem, et al.
		Group Art Unit	1745
		Examiner Name	J.A. MERCADO
Total Number of Pages in This Submission	11	Attorney Docket Number	3364P039

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks Drawing FIGURE 4 (1 SHEET)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Steven Laut, Reg. No. 47,736 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	March 26, 2002

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FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/512,394
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	02/24/00
		First Named Inventor	Sheem, et al
		Examiner Name	J.A. MERCADO
		Group Art Unit	1745
TOTAL AMOUNT OF PAYMENT (\$)		Attorney Docket Number	3364P039

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																			
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<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman		<table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or cost</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920</td> <td>112</td> <td>920</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840</td> <td>113</td> <td>1,840</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>820</td> <td>217</td> <td>480</td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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late filing fee or cost		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for ex parte reexamination		112	920	112	920	Requesting publication of SIR prior to Examiner action		113	1,840	113	1,840	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	400	216	200	Extension for response within second month		117	820	217	480	Extension for response within third month		118	1,440	218	720	Extension for response within fourth month		128	1,960	228	980	Extension for response within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidably		141	1,280	241	640	Petition to revive - unintentionally		142	1,280	242	640	Utility issue fee (or reissue)		143	480	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Steven Lawt, Reg. No. 47,736	Registration No. (Attorney/Agent)	Telephone (310) 207-3800
Signature		Date	03/26/02

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